Recent Developments in PET Instrumentation

Hao Peng and Craig S. Levin*

Department of Radiology and Molecular Imaging Program, Stanford University, Stanford, CA, USA

Abstract: Positron emission tomography (PET) is used in the clinic and in vivo small animal research to study molecular processes associated with diseases such as cancer, heart disease, and neurological disorders, and to guide the discovery and development of new treatments. This paper reviews current challenges of advancing PET technology and some of newly developed PET detectors and systems. The paper focuses on four aspects of PET instrumentation: high photon detection sensitivity; improved spatial resolution; depth-of-interaction (DOI) resolution and time-of-flight (TOF). Improved system geometry, novel non-scintillator based detectors, and tapered scintillation crystal arrays are able to enhance the photon detection sensitivity of a PET system. Several challenges for achieving high resolution with standard scintillator-based PET detectors are discussed. Novel detectors with 3-D positioning capability have great potential to be deployed in PET for achieving spatial resolution better than 1 mm, such as cadmium-zinc-telluride (CZT) and position-sensitive avalanche photodiodes (PSAPDs). DOI capability enables a PET system to mitigate parallax error and achieve uniform spatial resolution across the field-of-view (FOV). Six common DOI designs, as well as advantages and limitations of each design, are discussed. The availability of fast scintillation crystals such as LaBr₃, and the silicon photomultiplier (SiPM) greatly advances TOF-PET development. Recent instrumentation and initial results of clinical trials are briefly presented. If successful, these technology advances, together with new probe molecules, will substantially enhance the molecular sensitivity of PET and thus increase its role in preclinical and clinical research as well as evaluating and managing disease in the clinic.

Keywords: Positron Emission Tomography (PET), Photon Sensitivity, Spatial Resolution, Scintillation Detector, Semiconductor Detector, Depth-of-Interaction (DOI), Time-of-Flight (TOF)

1. INTRODUCTION

Positron emission tomography (PET) is a non-invasive medical imaging tool widely used in both clinical and preclinical research to study the molecular bases and treatments of disease. In clinical applications, PET has proven its critical value in several areas such as cancer diagnosis and staging, assessing neurological diseases such as Alzheimer's disease and dementias, myocardium blood flow and viability evaluation in cardiology, as well as an increasing role in radiotherapy treatment planning and chemotherapy monitoring [1-4]. In recent years, PET is also being actively used in small animal research using new molecular probes labeled with positron emitting radionuclides, with the intention to target, detect, visualize, and quantify various extra- and intracellular molecules and processes associated with cancer, heart disease, and neurological disorders. Exotic applications using small animal PET include studying protein-protein interaction in signal transduction pathways [5,6], cell trafficking as cancer cells metastasize to different organs [7,8], therapeutic stem cells and their progeny [9,10], interaction of the immune system and tumor cells over time [11,12], gene delivery and expression in living animals to optimize the delivery of a specific gene to a chosen target [13,14], and low levels of endogenous messenger ribonucleic acid (mRNA) [15].

*Address correspondence to this author at the Department of Radiology and Molecular Imaging Program, Stanford University, Stanford, CA, USA; Tel: (650) 736-7211; Fax: (650) 724-1499; Email: cslevin@stanford.edu
time resolution so that a narrow coincidence time window setting during data acquisition may be employed to reject randoms without compromising photon sensitivity. Scatter coincidences (S) are another undesirable source of background events that occur when one or both annihilation photons emitted from the same nucleus or single photons emitted from two separate nuclei undergo one or more Compton scatter interactions in the tissue before detection. Since scatter causes a photon to lose energy, its effects may be substantially reduced through the use of a very narrow energy window setting around the 511 keV photopeak. Again, it is also desirable to have excellent energy resolution so that a narrow energy window setting may be employed to reject scattered singles and coincidences without compromising photon sensitivity. A widely used metric, reflecting the signal-to-noise ratio (SNR) performance of PET data in the context of the three types of coincidences, is noise equivalent counts (NEC) [16] shown in formula (1).

\[
\text{NEC} = \frac{T^2}{T + S + kR}
\]  

Where \( T \), \( S \), and \( R \) are the total number of true, scatter and random coincidences, respectively. \( k \) is a number between 1 and 2, depending on phantoms/organs being imaged and the random estimation methods being used. A higher NEC at a given injection dose implies that a PET system is able to achieve better SNR and contrast-to-noise ratio (CNR) performance.

In this review, we will focus on four advances in recent small animal and whole body PET system instrumentations: 1) high photon detection sensitivity; 2) improved spatial resolution; 3) depth-of-interaction (DOI) design; 4) time-of-flight (TOF) PET.

2. PHOTON DETECTION SENSITIVITY

High photon sensitivity is a critical issue in PET instrumentation as it enables high statistical quality of acquired data, which is required to realize the system resolution potential in the reconstructed images [17,18]. High photon sensitivity would also lead to a higher NEC in formula (1). For a PET system, the photon sensitivity is determined by two factors [19]: geometric efficiency (\( E_g \)) which consists of solid angle coverage of the detectors, and intrinsic coincidence detection efficiency (\( E_i \)) which is determined by the detector atomic number (\( Z \)), density, and thickness in the path of the 511 keV photons, crystal packing fraction, as well as the coincidence time and energy window settings. The overall system photon sensitivity (\( E_s \)) is given by formula (2). The photon sensitivity is often quoted for a point positron source placed at the system center. For a standard clinical whole body PET system (~700-800 mm diameter bore), \( E_s \) is normally around ~0.5-1% [19,20]; for a typical small animal PET system scanner (~100-200 mm diameter bore), \( E_s \) is normally around 1.0-9.0% [21,22].

\[
E_s = E_g \times E_i
\]

\( E_g \) is dependent on the total solid angle of a PET system. In general, the total solid angle can be increased by either moving detectors closer to the subject, or by increasing the system coverage with more detectors. Several solutions to address this issue include: 1) extending the axial field of view of a cylindrical system as deployed recently in Siemens Biograph 64 TruePoint PET/CT whole body scanner [23]; 2) decreasing the system diameter [19]; 3) modifying the system geometry from cylindrical shape to other geometries [19].

There are several ways to increase \( E_s \). The most straightforward way is to utilize scintillation materials with high \( Z \) and density (see Table 1), increasing the length of crystals, and/or packing them more tightly. However, longer crystals are associated with spatial resolution degradation towards the edge of FOV (also known as the parallax error [24]) and reduced light signal [18], to be discussed later. In addition, \( E_i \) may be increased by using monolithic scintillation crystals [25-27], by stacking semiconductor detectors slabs such as cadmium-zinc-telluride (CZT) [19, 28-31], and by using tapered crystal arrays [32]. Essentially, these efforts intend to decrease the gaps both in-between crystal pitches and between detector modules. In small diameter animal scanners based on ring geometry, these gaps can be a significant factor in limiting the photon sensitivity.

As an example, consider a dual-panel breast dedicated PET system based on CZT detector technology as shown in Fig. (1). A simulation study indicates that for 4 cm panel separation and a point source, the system photon sensitivity can reach 32.5% at the center of FOV and can achieve >15% on average across the whole FOV [33]. This is over an order of magnitude higher than the standard whole body clinical system (~1-2% at the isocenter) [19,20]. The increased photon sensitivity of the CZT system is attributed to the dual-panel configuration, close proximity to the breast, high packing fraction of the CZT detectors (>99%), and the minimum of 4 cm detector thickness seen by incoming photons shown in Fig. (1a). For an 8x8x8 cm³ box-shape CZT PET system for small animal imaging shown in Fig. (1b), an increase to ~30% in the photon detection sensitivity can be obtained, which is much higher compared to standard small animal PET systems [19].

Another group studied tapered PET detectors and compared their performance against rectangular PET detectors [32]. This study is based on a small animal PET scanner with an inner diameter of 6 cm and an outer diameter of 10 cm. The tapered crystals significantly reduce dead area in-between detector modules and thus increase the system packing fraction as shown in Fig. (2). The traditional rectangular detector is a 14 × 14 array of 0.5 × 0.5 × 20 mm³ LSO crystals, while the tapered detector is a 14 × 14 array of 0.5 × 0.875 mm³ crystals (average height: 20 mm). The crystal element width is uniform in the axial direction but tapered in the transaxial dimension. Using the tapered arrays, the system exhibits ~4.2% photon sensitivity at the center of FOV while the system with non-tapered crystals exhibits ~3.0% at the center of FOV. No significant difference was found for the spatial resolution between the two configurations.

It should be noted again that \( E_i \) is also dependent on the coincidence time (~2x time resolution) and energy window (~2x energy resolution at 511 keV photopeak) settings in a PET system as only those events staying within the windows are recorded. In general, if other conditions remain the same, a system that exhibits better energy resolution and time resolution would have a larger \( E_i \).
Table 1. Common Scintillators for PET Imaging

<table>
<thead>
<tr>
<th>Scintillator</th>
<th>Density (g/cm³)</th>
<th>Effective Atomic Number (Z)</th>
<th>Linear Attenuation Coefficient (cm⁻¹)</th>
<th>Decay Time (ns)</th>
<th>Light output (light photons/MeV Annihilation Photon)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NaI</td>
<td>3.67</td>
<td>50.8</td>
<td>0.35</td>
<td>230</td>
<td>41,000</td>
</tr>
<tr>
<td>Bi₂₅(GeO₂)₃ (BGO)</td>
<td>1.06</td>
<td>75.2</td>
<td>0.96</td>
<td>300</td>
<td>7,000</td>
</tr>
<tr>
<td>Lu₂₅(SiO₄)O:Ce (LSO)</td>
<td>7.40</td>
<td>66.4</td>
<td>0.86</td>
<td>40</td>
<td>26,000</td>
</tr>
<tr>
<td>Gd₂₅(SiO₄)O:Ce (GSO)</td>
<td>6.71</td>
<td>59.4</td>
<td>0.70</td>
<td>60</td>
<td>10,000</td>
</tr>
<tr>
<td>Cd₀.₉Zn₀.₁Te (CZT)</td>
<td>5.61</td>
<td>48</td>
<td>0.50</td>
<td>N/A</td>
<td>200,000</td>
</tr>
<tr>
<td>BaF₂</td>
<td>4.89</td>
<td>56</td>
<td>0.45</td>
<td>2</td>
<td>2,000</td>
</tr>
<tr>
<td>LaBr₃</td>
<td>5.06</td>
<td>48</td>
<td>0.47</td>
<td>21</td>
<td>60,000</td>
</tr>
</tbody>
</table>

Fig. (1). (a) Illustration of a dual panel CZT-based PET system for breast cancer imaging. Each panel has dimensions of 4x12x15 cm³. Each detector module has dimensions of 4x4x0.5 cm³ with 25-μm inter-module spacing, giving a packing fraction of over 99%. The cross-strip readout scheme for CZT detectors with sets of parallel anode and cathode strips is deployed in order to limit the number of electronic readout channels. The anode and cathode electrodes can have different widths depending on resolution requirements. (b) Illustration of a box-shape CZT-based small animal PET system of 8x8x8 cm³ field-of-view. (Adapted from [30, 31, 33].)
3. SPATIAL RESOLUTION

An important question is whether PET systems have achieved the fundamental spatial resolution limit inherent to PET. This question is especially relevant to high resolution (i.e., < 2 mm) small animal PET instrumentation. A general framework with regard to spatial resolution has been proposed by Moses et al based on the measurements with multiple whole body clinical PET scanners [34].

\[
\text{Resolution}_{\text{FWHM}} = 1.25 \sqrt{(d/2)^2 + (0.0022D)^2 + R^2 + b^2} \quad (3)
\]

The contributions to spatial resolution near the center of a tomography are the crystal size, crystal decoding process (factor b), annihilation photon acollinearity, positron range, and reconstruction algorithm. All factors in the unit of mm, \(d\): crystal pitch, \(D\): scanner diameter, \(R\): effective positron range, \(b\): a factor empirically determined from BGO (see Table 1) block detectors that ranges from 0 to 2.2 depending on detector design.

Using a similar framework, there have been several studies trying to investigate the spatial resolution limit of PET systems. Levin et al found that for a point source of \(^{18}\text{F}\) in water-equivalent tissue determined by three blurring factors (positron range, photon acollinearity, and crystal pitch), 750 \(\mu\)m FWHM resolution is attainable with 1 mm crystal pitch and 20 cm system diameter [35], provided there are adequate counts to reconstruct at that desired resolution with acceptable SNR. In addition, Stickel et al proposed a model for studying the intrinsic spatial resolution by considering the intrinsic positioning uncertainty of high-energy photons inside various detector materials, as well other blurring factors. The results indicate that 500 \(\mu\)m FWHM resolution is possible if 250 \(\mu\)m crystal pitch and 8 cm system diameter can be manufactured [36]. Motivated by the simulation work, the group is working towards scintillation block detectors of 500 \(\mu\)m and 750 \(\mu\)m LSO crystal pitch [37], which will be discussed in more details below.

It seems clear from these results that to increase PET spatial resolution, one should be able to build a PET system with as small crystal pixels and system diameter (or distance between opposing detectors) as possible. However, with standard detector design, the system diameter cannot be reduced due to the parallax error (section 4). Below, we briefly discuss several challenges faced by reducing the crystal pitch to less than 1 mm, as well as several promising solutions under investigation.

3.1. Complex and Expensive Assembly

A major challenge of manufacturing PET detectors with smaller (e.g., <2 mm width) scintillation crystal elements is
that cutting, surface treatments, and assembling crystals to make arrays are complex and expensive processes. Furthermore, in order to avoid the optical cross talk between adjacent crystals, optical reflectors are usually required to be inserted, with a minimum thickness about 50-75 μm. Assuming a single crystal pitch of 250 μm is used, the optical reflectors in-between crystal pitches would degrade the packing fraction to ~60-70%, ultimately reducing the photon sensitivity.

3.2. Reduced Scintillation Light Output

In order to achieve high photon detection sensitivity, the crystals need to be thick and the thickness depends on the atomic number and density of detector materials (e.g., 20 mm for LSO material). On the other hand, the crystal pitch should be as small as possible in order to localize incoming photons with high precision. As a result, a typical PET detector comprises a long yet very narrow scintillation crystal elements of low aspect ratio (i.e. the ratio of crystal width to crystal height), which compromises the scintillation light output [18, 38, 39]. As shown in Fig. (3) the longer and narrower the crystal element, the less light collected from one end. Ultimately a weak scintillation light signal negatively impacts energy and temporal resolution performance (which affect energy and time window settings), and also limits the degree of spatial decoding. Modest light collection improvements can be made by proper treatment of the crystal faces [38,39], such as polishing or well-controlled etching, to enhance total internal reflection. Higher crystal refractive index also generally improves the probability of total internal reflection to facilitate light collection [39]. Ground surfaces do not promote total internal reflection, and so there is no significant variation of the light collection efficiency existing among different materials for that treatment as shown in Fig. (3). To address the issue of light loss, in 2 mm resolution PET systems built to date, relatively short (~10 mm length) crystals are used [40-44]. This severely compromises intrinsic 511 keV photon detection efficiency, which is ~82% for a 20 mm long crystal and ~58% for a 10 mm long crystal (assuming the linear attenuation coefficient of LSO is 0.86/cm). Similarly, in a PET system utilizing even smaller crystal pitch (i.e., 500 μm), the crystal length also needs to be reduced to compensate for light trapping within crystals.

How the reduced light output affects the spatial decoding? To answer this question, the results of several recently developed detectors are presented here. A LSO crystal array of 0.43x0.43x10 mm³ coupled to a position-sensitive photomultiplier tube (PMT) is shown in Fig. (4a) the crystals in the flood map cannot be clearly resolved [45].

Other work improved high resolution crystal decoding by using position-sensitive avalanche photodiodes (PSAPDs). APDs are semiconductor photodetectors and output signals originate from the avalanche multiplication process of electron-hole pairs when a light stimulus hits the sensitive area (depletion region). Compared to the size of PMT devices, an APD device is very compact, enabling high packing fraction, something of great potential for high-resolution PET system design. Furthermore, such a device can tolerate high magnetic fields and have been increasingly used in pre-clinical PET and PET/MRI systems [46]. To make a PSAPD, a resistive layer is added at the back of the device and four spatial channels at the four corners of the device are used to implement Anger-logic type positioning as shown in Fig. (4b) [47-50]. Due to charge multiplexing through the resistive layer, the signal collected at four corners is dependent on where an incoming 511 keV photon interacts with crystals and where the light is produced. In Fig. (4b) two LSO crystal arrays were readout by PSAPDs [37]. At room temperature, crystals in the flood map of the 0.5 mm array are less resolved compared to the 0.7 mm array, partly due to the reduced light collection. As PSAPDs were cooled to -10°C, the quality of both flood maps improves because the devices have higher detector SNR at lower temperature. The peak-to-valley ratio (PVR), an important figure of merit widely used for block detector evaluation, is shown for the profiles in Fig. (4b).

Fig. (3). Monte Carlo simulation of light collection efficiency from the ends of (a) 2x2x10 mm³ and (b) 1x1x10 mm³ crystal rod elements for different scintillation crystal materials and two different surface treatments. The “polished” treatment is the ideal perfectly specular surface, which cannot be accomplished in practice, so the corresponding data represent a light collection efficiency upper limit for the common scintillator readout configuration. The “ground” or “polished” surfaces are applied to all surfaces except that coupled to the photodetector. “Ground” and “polished” surfaces means diffuse and spectral, respectively, with respect to light ray reflections. Note that going from 2x2 to 1x1 mm² crystal cross section significantly compromise light collection efficiency. Adapted from [39].
Higher PVR indicates that crystals are better distinguished, implying that the detector is able to achieve better spatial resolution in the context of coincidence point spread function (CPSF). In addition, APD devices can also be configured into arrays to build block detectors through light multiplexing and Anger-logic positioning. A flood histogram for a block detector designed for a brain-dedicated PET/MRI based on four APD devices [51] with a 2.5 mm pitch \((\text{Lu,Y})_2\text{SiO}_5:\text{Ce}\) (LYSO) array is shown in Fig. (5). In this work, an 8x8 crystal array was readout by a 2x2 APD array of 1cm² APDs. No cooling was applied and 64 crystals can be clearly resolved. The dependency of spatial decoding on the detector’s SNR is shown in Fig. (5b). It should be noted that in the work that predicts the resolution limit of 500 \(\mu\)m [36], no effect of the scintillation light output and the detector SNR (i.e. signal of a 511 keV photon over RMS noise) was taken into account. The detector SNR can be measured when an individual crystal is readout individually without any multiplexing. From a practical point of view, however, to resolve an array consisting of 250 \(\mu\)m or 500 \(\mu\)m pitch crystals, it is very likely that either charge multiplexing Fig. (4) or optical multiplexing Fig. (5) is to be employed to reduce the total number of readout channels. Based on the theory of Anger logic positioning scheme [52], the reduced light output and reduced SNR will make two adjacent crystals more difficult to be resolved (i.e. lower PVR), as illustrated by the flood histogram and profile results shown in Figs. (4 and 5). The positioning uncertainty associated with the overlap between two crystals in a flood map ultimately degrades the spatial resolution, which corresponds to an increase of the \(b\) factor in the formula (3). As a result, the ultimate spatial resolution achievable might be worse than 500 \(\mu\)m as predicated in [36].

### 3.3. Compton Scatter and Multiple Interactions within Detectors

A 511 keV photon will interact with crystals and deposit its energy through photoelectric effect (PE) and Compton scatter (CS). If the detector elements are small, scattered photons will usually escape into adjacent crystals before depositing their remaining energy (also known as “multiple interactions”). Multiple interactions occurring between adjacent crystals were found to be a dominant source for the mispositioning of incoming 511 photons and contribute to the tails of the spatial response function [36, 39, 53,54]. This, in turn, degrades spatial resolution and contrast resolution. For an energy threshold of 350 keV on the summed interaction energies per photon event and a lower energy cutoff of 10 keV per interaction, ~25% of the detected events would be positioned away from the true line of entrance (for an 8x8 array of 1x1x10 mm³ LSO crystals) [39]. The implication is that even though the utilization of a finer crystal pitch could improve the spatial resolution, the increases portion of multiple interactions due to the reduced crystal size diminishes that benefit, while causing additional penalty through the light output loss [39].
Recent Developments in PET Instrumentation

In general, the probability of photon scattering is higher for relatively low Z, lower density crystals such as GSO and CZT (see Table 1). The probability of multiple interactions is also significant in higher Z crystals such as LSO, if crystal elements are small [36, 39]. Recent work [36] shows that the percentage of multiple interaction and its impact on the photon positioning are also dependent on the thickness of detectors. For a 0.45 cm thick CZT slab, the fraction of multiple interaction events with respect to the total number of events is 31%; while for a 5.98 cm thick CZT slab, the fraction is 77%. For LSO, the fraction is 27% and 63%, for the thickness of 0.27 cm and 3.65 cm, respectively [36]. The above results indicate that when comparing multiple detector layers made of thin slabs of low Z materials such as Si and CZT against a single thick detector layer made of high Z materials such as LSO, the two configurations might end up having the same fraction of multiple interaction events (i.e., positioning uncertainties) [36]. This implies that low Z materials such as Si and CZT are not necessarily exhibiting inferior performance in terms of the positioning uncertainties when compared to high Z materials such as LSO, provided that they are configured in novel detector geometries.

3.4. High Resolution PET Detector Developments

One approach under investigation to address the above challenges is to use semiconductor detector crystals such as CZT or cadmium telluride instead of scintillation crystals [18, 28-31]. In semiconductor crystals, a strong electric field is established across the crystal by applying a relatively large potential difference on the two electrodes (anode and cathode) on either face of a monolithic crystal slab. When an incoming photon interacts with the detector, a cloud of electron-hole pairs are created. The electrons and holes are separated and drift toward opposite faces. The motion of the charge induces signals on the respective electrodes that may be used to extract spatial, energy, and timing information. Thus, unlike scintillation crystals, semiconductor detectors directly sense the ionization signal created by the high-energy photon absorption and do not create, transport, and collect scintillation light. Such direct conversion enables it to exhibit superior energy resolution of ~1.5-3.0% full-width-half-maximum (FWHM) at 511 keV Fig. (6), compared to scintillator-based detectors. In semiconductor detectors, fine pixilation is set by the segmented pattern of charge-collecting electrodes deposited on the crystal slab faces Figs. (1 and 6a), rather than relying on cutting, treating, and assembling many miniscule crystal elements. For instance, to achieve high intrinsic spatial resolution of 500 μm, one deposits the electrodes with a pitch of that matches the desired spatial resolution. The electrodes can be configured in crossstrip or square electrode pixels. In either mode, the detector is able to achieve high-resolution 3-D positioning. Taking a CZT slab of 4x4x0.5 cm^3 for example, in the face-on mode where 511 keV photons enter normal to the large face, about eight slabs need to be stacked together (4 cm thickness in total) to achieve high stopping power; in the edge on mode where 511 keV photons enter parallel to the large face as shown in Fig. (1a) high photon detection efficiency can also be achieved. For a 2 cm long LSO crystal (linear attenuation coefficient: 0.86/cm), the intrinsic sensitivity for 511 keV photons is ~82% and for a 4 cm thick CZT detector, it is ~86% (linear attenuation coefficient: 0.50/cm).

The principle that a cross-strip CZT detector (4x4x0.5 cm^3) extracts 3-D positioning information is illustrated in Figs. (6a and 6c) The spacing of anodes (cathodes) determines the intrinsic spatial resolution along Y (X) direction (see Fig. (1) for the axes definitions); by utilizing the time difference between the signals from the anode and cathode,
or, alternately the ratio of peak cathode to anode signals, the system is able to achieve ~ 1 mm resolution along the Z direction, which is better than the thickness of the detector along that direction (5 mm). For instance, if a photon interacts within the detector at a location closer to the anode, a shorter time is required for the electrons to drift to the anode, and accordingly a longer cathode to anode time difference or a smaller cathode to anode peak signal ratio would be measured. Recently, a breast dedicated PET [33] and a box shape small animal PET system [19, 31] based on such CZT detectors are under investigation.

Another approach under investigation to increase light collection efficiency for high-resolution scintillation crystal designs is to read out the larger area side faces of the long and narrow crystals (effective length: 2 cm) rather than the small area ends of the crystals as illustrated in Fig. (7), which substantially improve the crystal aspect ratio and light collection [39]. In this new geometry, with the photodetector surface generally edge-on (parallel) with respect to incoming photons, the average light path to the photodetector is greatly reduced and the light collection is nearly complete (>95%), independent of individual crystal length, width, and surface treatment, as well as origin of the scintillation light [39]. These factors help to achieve high energy and timing resolution in addition to high spatial resolution, without requiring special crystal surfaces or promotion of total internal reflection. In order to accomplish this feat in an array with high crystal packing fraction, very thin PSAPD photodetectors have been manufactured and are configured in between the crystal planes as shown in Fig. (6) [28, 47-49]. With PSAPDs and the edge-on orientation, the annihilation photon interaction depth can be directly measured. Similar to the CZT detectors mentioned above, this detector design is able to achieve 3-D positioning of about 1 mm spatial resolution, while maintaining good energy resolution (~12% FWHM at 511 keV) and time resolution (~ 2 ns).

One potential benefit with novel 3-D positioning photodetectors is that by recording the 3-D coordinates and energy deposition for every interaction, it is possible to use more intelligent positioning algorithms to better estimate the line of entrance of an incoming photon in order to mitigate the positioning errors caused by the multiple interactions [31]. Such positioning algorithms may incorporate the physics of Compton scatter and/or a probabilistic formalism such as maximum likelihood to estimate the first interaction location.

Nevertheless, we would like to point out that these novel designs face challenges in readout electronics developments. Though CZT and LSO-PSAPD detectors under investigation
are able to achieve ~1 mm spatial resolution in three dimensions while maintain high photon detection sensitivity, the main challenge is that there are thousands of electronic channels required. For example, a dual-panel breast-dedicated PET system based on LSO and PSAPD modules under development will have more than 10,000 individual readout channels Fig. (7a). The box-shaped CZT system of an 8x8x8 cm$^3$ FOV will require more than 9000 channels Fig. (1b). On the other hand, the lower gain and poorer detector SNR of APDs and CZT (compared to PMTs) prevent extensive multiplexing to be implemented to reduce the number of readout channels, either with charge multiplexing or light multiplexing. Thus, a key bottleneck in the development of a PET system built from high-resolution 3-D positioning detectors is the development of high-density application-specific integrated circuits (ASICs) that are used to read out hundreds to thousands of densely packed detector channels with complex event hit patterns. Fortunately, there are a number of companies as well as national laboratories that have developed such ASICs nowadays [55-57].

4. DEPTH-OF-INTERACTION (DOI) DESIGN

A well-known problem in PET instrumentation is the parallax error, also known as the depth-of-interaction (DOI) problem, which impacts both the spatial resolution and quantitative studies [24, 58-60]. A PET system typically comprises a circular arrangement of arrays. The interaction of 511 keV photons with long crystal elements at oblique angles, as well as the penetration of 511 keV photons through multiple crystal elements, lead to non-uniform spatial resolution towards the edge of FOV as illustrated in Fig. (8a) for a brain-dedicated PET system with ring geometry. Note that the framework proposed in formula (3) only predicts the spatial resolution around the center of a PET scanner.

As emphasized in section 2, the use of long crystals is desired for increasing photon detection sensitivity. As a result, for a small diameter PET system or a dual-panel system with closely spaced detectors, on average a higher fraction of photons will interact with scintillation crystals at oblique rather normal angles for a subject of given size. This causes the blurring of the radial component of the spatial resolution that increases with radial position, as illustrated in Fig. (8b). For example, in the brain dedicated PET/MRI system under investigation (PET system diameter: 36 cm, placed outside of a brain RF coil), the spatial resolution shows gradual degradation as a function of the radial distance from the center, as well as the respective thickness of front layer ($d_1$) and back layer ($d_2$). In Fig. (8b) the results indicate that a configuration (front/back: $d_1/d_2$) between 5/15 mm and 10/10 mm might be the optimum design for achieving more uniform spatial resolution across the FOV. Note that the 20/0 mm configuration corresponds to a single layer of crystals and has no DOI capability.

Parallax error also occurs for systems of other geometries than a cylindrical ring. For the dual-panel breast system shown in Fig. (1a) a degradation of spatial resolution is also noticeable [33]. In such design, the system has 1 mm intrinsic spatial resolution along both Y and Z directions (see Fig. (I) for the axes definitions). The spatial resolution along the X direction, referred to as the intrinsic DOI resolution, is determined by the cathode spacing and corresponds to the DOI resolution in Figs. (8c and 8d). Under different DOI conditions, the simulation results show that the spatial reso-
olution along the direction perpendicular to the two panels degrades as the DOI resolution increases. The elongated shape of reconstructed spheres along the X direction is due to both the limited tomography configuration (projection angles of less than full 180° coverage) and the limited DOI resolution. Even with DOI resolution being zero, the orthogonal-plane image of spheres still exhibits an elliptical shape as shown in Fig. (8c).

The spatial resolution blurring caused by the DOI capability as described above, can be mitigated if one can determine the photon interaction depth with improved accuracy. How can we incorporate the DOI capability into PET detectors? One could use 3D positioning detectors, either LSO+PSAPD or CZT based detectors examined above. For example, with edge-on PSAPDs configured on the sides of miniscule LSO crystal elements shown in Fig. (7), the segmented crystals are able to achieve 1 mm 3-D intrinsic positioning [47-49]. For CZT detectors, the 3-D positioning capability (~1 mm) may be attained by either the cross-strip design Fig. (6c).

DOI capability can be also introduced for conventional scintillator-based PET detectors. Several DOI designs are summarized below. In general, DOI resolution has two types: discrete DOI and continuous DOI. The former implies that crystals are segmented to independent units (similar to the pixilation of electrodes in CZT detectors) [18]. The latter implies that no physical segmentation is made and DOI is estimated/calibrated using collimated photon beams [26,27,37]. Meanwhile, it is worthwhile to emphasize the subtle difference between 3-D positioning detectors and 2-D positioning detectors with DOI capability. Standard PET detectors (referred to 2D positioning detectors here) commonly use either light multiplexing in the scintillation crystal array and/or charge multiplexing in the photodetectors, or associated readout circuit to reduce the number of readout channels. As a result, such detectors estimate only the 2-D photon interaction coordinates (x-y) for each event by determining the array crystal closest to the 2-D weighted mean of the readout signals. Consequently, individual interaction coordinates and their energies deposited cannot be determined in the standard PET detector. That said, even though a 2D positioning detector has DOI capability incorporated, it is still fundamentally different from 3D positioning detectors that can measure 3D coordinates of multiple interactions per event.

**Fig. (8).** (a) Illustration of DOI effect on the spatial resolution. For a brain-dedicated PET system of 36 cm diameter bore and crystal length of 20 mm, the intrinsic spatial resolution was studied with a point source shifting from the origin to the edge of FOV. Filtered-backprojection (FBP) was used and for each image, the FWHM of the intensity profile across the point source was analyzed. d1 and d2 are the thickness of the front and back crystal layer, respectively. (b) A configuration between 5/15 (d1/d2) and 10/10 mm is expected to provide the optimum DOI design for achieving uniform spatial resolution across the FOV. The configuration of 0/20 mm implies that only one crystal layer exists. (c) For the breast-dedicated PET system made of CZT detectors (see figure 1a), reconstructed image slices with different DOI resolutions (0, 2, 5 and 10 mm). The simulated spheres of 2 mm diameter are located within a XY plane at the center of the dual-panel system (Z=0). (d) The reconstructed orthogonal-plane (perpendicular to panels) sphere FWHM as a function of DOI resolution. Adapted from [33].
Here we briefly review five types of DOI designs:

4.1. Multiple Crystal-Photodetector Layers

The design consists of two or more crystal layers each read out by a layer of photodetector(s) [39, 61,62]. As shown in Fig. (9a), the DOI resolution is discrete and determined by the crystal segmentation of each layer. The advantage is that the overall detector performance is not compromised, as there is no optical and electrical interference between two layers. However, the basic drawback of this design is the increasing number of electronic readout channels (2x more) and thus increased development complexity.

4.2. Single Crystal Layer + Dual ended Photodetectors

This design employs a single crystal layer read out by two photodetectors on both ends as shown in Fig. (9b). In this way the depth of interaction of the annihilation photon inside the crystal is determined by the difference in the amount of light detected by the two photodetectors [37, 63-65]. For an 8x8 array comprising 1x1x20 mm³ crystal elements, average DOI resolution over all crystals and all depths is ~3.5+/−0.1 mm for an energy threshold E > 350 keV, and ~5.0+/−0.1 mm for E < 350 keV [63]. Such design is also able to extract DOI resolution for even smaller arrays such as 0.5 mm and 0.75 mm crystal pitch [37]. A minor problem about this design is that the light sharing and propagation along the long crystal (~20 mm between the two photodetectors) may result in poor detector performance in terms of energy and/or timing resolution, as well as the positioning non-linearity near the faces of two photodetectors (i.e. non-uniform DOI resolution). In addition, as DOI resolution is directly dependent on the signal differences between two photodetectors, the stability and calibration of detectors, particularly the gain variability between the two photodetectors, remains a challenge. Furthermore, such calibration needs to be performed regularly due to drifting gains of photodetectors.

4.3. Phoswich Design

The phoswich detector comprises two different types of scintillation crystal materials read out from the same photodetector [66,67], as shown in Fig. (9c). DOI capability is realized by pulse shape discrimination based on the fact that the decay time constants of two scintillation materials are different (Table 1). A major challenge of this detector design is that complicated pulse shape discrimination circuits or pulse waveform digitization for each channel, are required to extract the timing difference between pulses originated from two types of materials. Ideally, the DOI resolution should be equal to the thickness of each crystal layer as in DOI design 4.1. However, several factors degrade the DOI capability including the limited decay time difference between two materials (~20-30 ns), the intrinsic timing fluctuation in the signal from each layer and the electronics, multiple interactions involving both layers, as well as the light loss at the interface between two materials of different refractive indices. Nevertheless, such a design achieves adequate performance and a commercial system based on such design is the GE small animal PET scanner (LYSO: 40 ns decay time and GSO: 60 ns decay time, see Table 1) [68].

4.4. Statistical Positioning with Monolithic Crystals

This design extracts the DOI information based on iterative statistical modeling for monolithic crystals [25-27, 69-71]. As shown in Fig. (9d), a monolithic crystal block of

![Fig. (9).](image-url) Illustration of several DOI design concepts for PET. (a) Dual crystal-photodetector(s) layers. (b) Single crystal layer with photodetectors at each end. (c) Phoswich design with two types of scintillation materials. (d) Statistical positioning with a monolithic crystal block. (e) Dual layer crystals with offset positions. (f) Dual layer crystals of mixed shapes.
relatively large size is read out by either individual photodetector(s) or position sensitive photodetector(s), which can be coupled to either the entrance surface or the exit surface, or both. In essence, the spatial resolution in the cross section of the crystal is obtained in the same manner as that in detectors without DOI capability. While in the DOI direction (the direction along which 511 keV photons enter the crystal), the DOI resolution is determined based on the light output intensity and/or light spread profile which are dependent on the depth of photon interaction. Several algorithms have been developed for this design, including maximum likelihood [69] and mean square error [71]. A recent method, called entry point estimation, shows that by estimating the annihilation photon entry point instead of merely the DOI (i.e. use the information of both the interaction depth and incidence angle), more accurate assignment of LORs could be expected [71]. Despite the simplicity implied by the use of a monolithic crystal layer, the complexity of this design lies mostly in the algorithms and calibrations. For instance, a set of experiments has to be done by irradiating the detector with 511 keV photon beams at a series of known positions and angles of incidence. Moreover, this design is subjected to the degeneracy of positioning near corners and edges of the crystal, where the spatial resolution is inferior compared to that near the center of the crystal. Nevertheless, such design has several attractive benefits beside the DOI capability: first, the use of monolithic crystal avoids complicated crystal cutting and assembly; second, it gets rid of dead area in-between crystal elements and thus increases the photon detection sensitivity; third, no extra optical interface exists and therefore no light loss is introduced as in other designs.

4.5. Dual Layer Crystals of Offset Positions or Mixed Shapes

In this design, two layers of crystals (same material) are involved and are readout by only one layer of photodetectors(s). As the top and bottom layer of crystals have different light output profile, their positions can be extracted. One method is to offset the top layer by half of the crystal pitch with respect to the bottom layer as shown in Fig. (9e) [72]. For illustration only, the top layer is a 9x9 array and the bottom layer is a 10x10 array.

Another design consists of two crystal layers built from crystals of different shapes shown in Fig. (9f). Each layer is built from small modules and each module comprises 8 crystals (4 crystals for each layer). Note that dimension of the base of a triangular crystal is twice that of the pitch of rectangular crystals. Here the light sharing is dependent on the crystal shape. For instance, interactions in the triangles at the top layer form a special light-focusing pattern that enables their differentiation from interactions in the rectangular crystals at the bottom layer. This design provides discrete DOI and does not double the number of photodetectors. It does not require complicated readout electronics that are necessary in a phoswich design. Furthermore, as two layers are made of the same material, no significant light loss occurs at the interface. However, the limitation mainly stays in the complexity and relatively high cost in manufacturing triangular shape crystals, and light loss at interfaces between the two layers.

The preliminary characterization of the triangular crystal design is presented in Fig. (10) for a basic module comprising 8 crystal elements. It is noticed that the crystal flood map is dependent on the configuration of two layers. The performance of crystals at the top layer and the bottom layer was compared in terms of gain (i.e., the position of 511 keV photopeak in the energy spectrum) and energy resolution, after crystal segmentation. A noticeable pattern is that for light produced in the top layer, it suffers from a slight light loss compared when produced in the bottom layer. No significant difference was found in terms of energy resolution. A modulation transfer function (MTF) study was made for both triangular crystals and rectangular crystals and it was found that triangular shape elements do not introduce spatial resolution non-uniformity or artifacts.

5. TIME-OF-FLIGHT (TOF) PET

Here we briefly overview the history, theory, and recent progress of TOF PET instrumentation. By accurately measuring the arrival time of the two 511 keV annihilation photons, the location of their emission points can be constrained along a LOR between two detector pairs. Though this constraint is not tight enough to avoid the image reconstruction process or improve the spatial resolution, it can significantly increase the SNR in the reconstructed images [73-76]. The image SNR improvement is increased due to the following reason: in a non-TOF PET, the noise from all pixels along a given LOR is correlated; while in TOF PET, the data backprojected along a LOR statistical fluctuations from the data along a LOR contribute to a reduced number of adjacent image pixels, as illustrated in Fig. (11). The framework developed for TOF PET is described in formula (4) [77].

\[
\Delta t = \frac{c}{2} \Delta x \quad \text{SNR}_{\text{TOF}} = \sqrt{\frac{D}{\Delta x}} \quad G = \frac{2D}{c\Delta t}
\]

where \(\Delta x\) is the position error, \(c\) is the speed of light, and \(\Delta t\) is the arrival time difference (constrained by the coincidence time resolution), \(D\) is the size of the emission source, and \(G\) is the effective sensitivity increase. The benefit of TOF increases as the timing resolution decreases. For example, for a time resolution of 500 ps that is currently achievable with fast scintillators and PMTs, \(4\Delta x\) is \(\sim 7.5\) cm long. For an imaging object of 40 cm diameter, the SNR improvement is \(-2.3\) and \(G\) is about \(5.3\). However, for small animal PET, with the coincidence time resolution of current technologies and small size of subjects (e.g., \(-2-5\) cm diameter), there is no SNR improvement expected. Therefore, TOF is a technique more beneficial for clinical whole body PET than for small animal PET.

In 1980s, a number of PET cameras incorporating TOF measurement were built based on BaF\(_2\) or CsF [73-76, 78] as they are very fast scintillators (see Table 1). However, they were not suitable for PET detectors mainly due to the low light output and low detection efficiency, and the lack of high-quality electronics to perform accurate timing measurements. Over the past few years, there has been renewed interest in TOF-PET [79-82], thanks to the availability of newly developed fast scintillators that also have high light output and high stopping power. Several TOF PET scanners are commercially available, including Philips (crystal:
Fig. (10). (a) DOI design using two layers comprising triangular (TRI) and rectangular (RECT) crystal elements. Each module consists of four triangular crystals (top layer) and four rectangular crystals (bottom layer). The two layers produce different light profiles onto the photodetectors and they are coupled using optical grease or epoxy. The picture of individual crystal segments is shown. (b) The flood histograms of a basic module (comprising 8 crystals) are shown for two configurations (rectangular crystals at the top layer or at the bottom layer). The basic module was readout by a 2x2 SiPM array (pixel size: ~3.0 mm) without multiplexing. The gain (in voltage) and the energy resolution FWHM at 511 keV were analyzed after the individual crystals were segmented from the flood histograms. No significant performance difference is observed between crystals in the two layers.

Fig. (11). Illustration of TOF-PET. (a) In conventional PET, the source of the activity is localized to a LOR between a detector pair (i.e., two measured photons). (b) In TOF-PET, timing information is used to constrain the source of the activity to a segment of the LOR. Adapted from [78].
LYSO) [83], Siemens (crystal: LSO) [84] and GE (crystal: LYSO) [85]. All these systems exhibit a best timing resolution of 550-600 ps FWHM, but which degrades versus temperature and count rate [83].

5.1. Fast and High Z Scintillation Materials

The main factor that enables recent TOF PET development is the availability of new scintillator materials, such as LSO, LYSO and LaBr₃ crystals. As shown in Table 1, they have light output (good for energy resolution and scatter rejection), fast decay time constant (good for time resolution and random rejection), as well as good stopping power. Besides commercial LYSO-based TOF PET scanners, Philips has recently developed a TOF-PET system based on LaBr₃ crystals [86-87], which have a faster decay time and higher light output than LSO or LYSO though it has a lower atomic number. Preliminary characterization indicates that at room temperature and low count rate, an array of 4x4x30mm³ LaBr₃ (5% Ce) readout with large-size PMTs could achieve a timing resolution of ~313 ps FWHM and an average energy resolution of ~5.1% FWHM at 511 keV [87], compared to a timing resolution of ~585 ps and an average energy resolution of ~11.5% FWHM at 511 keV for LYSO crystals with the similar electronics used [83]. Furthermore, LaBr₃ (Ce) with cerium concentrations other than 5%, as well as other scintillation materials such as CeBr₃, and LuI₃ (Ce) are also being investigated [78].

Besides the intrinsic properties of materials, other parameters are also important for detector design in TOF PET systems, such as crystal surface treatment and crystal height [88]. In conventional clinical PET detectors, relatively long (e.g., 4x4x20 mm³ LSO crystal pitch) crystals are coupled to the surfaces of PMTs through a light guide. If a scintillation event occurs at the back of one detector but the front of the opposing detector, the difference in propagation time will cause blurring in the measured coincidence timing spectrum [77]. Furthermore, the use of light guide would further degrade the time resolution. In this regard, the detector design in Fig. (9a) appears to be a promising solution, which can have good time resolution (less propagation time within each layer of crystal), good DOI resolution and high sensitivity (total crystal length) at the same time, but at the drawback of increased number of detectors and electronic channel.

5.2. Novel Photodetectors and Electronics

Current commercial TOF-PET systems are all based on PMTs, which has high multiplication gain, superior SNR and good temperature stability. Being available very recently, the silicon-based photomultiplier (SiPM) is considered an attractive photodetector for TOF PET system development [89-93]. Each SiPM pixel, of size ranging from 1 mm to 4 mm, contains thousands of micro-cells with a fine pitch (e.g. 25-100 μm). Each micro-cell operates in Geiger mode. Under ideal conditions, each SiPM will generate a charge signal that is proportional to the number of incoming light photons from a scintillation crystal, with a gain comparable to PMTs (~10⁶). In principle, it is able to resolve single photoelectron peaks. SiPM devices are highly compact and magnetic field compatible. They can also be used for PET/MRI dual modality design, similar to APDs. Recently, two-dimensional arrays of SiPM pixels have become available Fig. (12). Recent studies with these devices show that a time resolution of 237 ps FWHM and 240 ps FWHM can be achieved for LaBr₃ [94] and LYSO [95] crystals (crystal dimensions: 3x3x20 mm³), which is superior to that obtained with PMTs. In addition, Philips has recently developed digital SiPM technology implemented in CMOS process [96], as shown in Fig. (12). Readout electronics such as analog-to-digital converter (ADC) and time-to-digital converter (TDC) are integrated inside the SiPM chip. The main benefits of the digital SiPM include low dark count rate, high production yield, and improved timing performance. Furthermore, no additional analog readout electronics (e.g. ASICs) are needed. Philips recently reported that the device is able to achieve a time resolution of 170 ps FWHM, for a single LYSO crystal of 3x3x5 mm³ [96].

Despite its promising features, for SiPM-based PET detectors to be deployed in a clinical TOF PET system, there are several concerns to be kept in mind such as significant dead area in-between pixels (fill factor) and adjacent arrays, long term performance stability (i.e., temperature dependency), number of readout channels and cost effectiveness. Due to the increased amount of channels to be readout compared to PMTs, high-performance multiplexing circuits and ASICs with good timing performance are also required.

5.3. Clinical Trials and Performance Evaluation

At the time TOF PET was introduced in the 1980s, PET systems were mainly used for brain and cardiac imaging whereas resolving small lesions and improving SNR were not deemed critical. However, TOF PET has gained more research and clinical attention as PET increases its role in oncology. Several simulation and experimental studies were performed to investigate the performance of whole-body TOF PET systems. Phantom studies with a LYSO-based commercial TOF PET scanner (Philips Gemini TF PET/CT) indicate that TOF information not only increases the lesion detection ability by a SNR gain of 1.4-1.6 (for a 10 mm diameter lesion within 35 cm diameter background), but also reduces the number of iterations required in the image reconstruction [97]. Meanwhile, clinical studies also provide promising results that a TOF PET is able to reveal/define structural details in colon cancer, abdominal cancer and lymphoma [97].

Recently, a LaBr₃-based TOF PET system has been investigated [86,87]. The system exhibits inferior performance compared to a LYSO-based scanner in terms of the photon sensitivity and spatial resolution (due to low Z). However, it exhibits a higher NEC rate as defined in formula (1) compared to that of the LYSO-based system. Surti et al conclude that the lower sensitivity of LaBr₃ is partially offset by its lower scatter and randoms fractions because it has better energy resolution (~6.5%, LSO: 16% at 511 keV) [86,87]. Note that such improvement is possible with two modifications made: the crystal thickness increases from 20 mm (LYSO) to 30 mm (LaBr₃) and the axial FOV increases from 18 cm (LYSO) to 25 cm (LaBr₃).

For this promising technique, there are several issues to be further explored. First, the framework proposed in for-
with new probes, targets, and assays under study. This enhancement would facilitate deeper probes of disease biology within the cells of living subjects and enable quantification of subtle signatures of molecular-based disease processes.

REFERENCES


Recent Developments in PET Instrumentation


